

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
JEFFERY R. HARRIS, et al. :
Serial No.: 10/694,585 : Group Art Unit: 1764
Filed: October 27, 2003 : Examiner:
For: CONTINUOUS NEAT POLYMERIZA- : Docket No.: 194-29412-USCP
TION AND AMBIENT GRINDING : Date: April 22, 2004
METHODS OF POLYOLEFIN DRAG :
REDUCING AGENTS :

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL
ACTION OR NOTICE OF ALLOWANCE — 37 CFR §1.97(c)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Time of Transmittal of Accompanying Supplemental Information Disclosure
Statement

The Supplemental Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final Action under §1.113 or
- (2) a Notice of Allowance under §1.311, whichever occurs first.

The document is in English and thus no translation or explanation of its relevance is necessary.

2. Certification, Petition and Fee

Accompanying this transmittal is:

☒ A. a certification as specified in 37 CFR §1.97(e).

☐ B. the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c). (\$180.00).

3. Fee Payment

☐ Applicant elects the option to pay the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$180.00).

Fee due: \$180.00.

4. Method of Payment of Fee

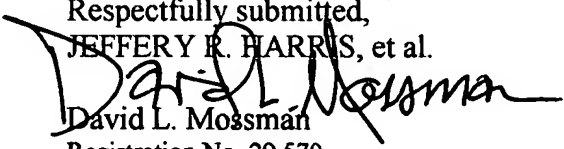
☐ Attached is a check for \$ _____.

☐ Please charge Account 02-0429 \$180.00. If any additional petition fees are due for this item only, please charge Account 02-0429.

5. Miscellaneous

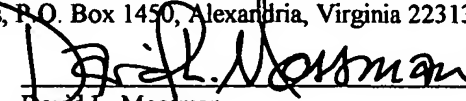
☒ In an abundance of caution, a copy of the PCT International Search Report for the corresponding International application No. PCT/US03/34935 is being provided. This is the PCT application corresponding to U.S. Pat. No. 6,649,670 B1 (U.S. Ser. No. 10/321,762), the parent application to the instant case. Furthermore, EP 0 840 057 A1, cited in the PCT International Search Report, is not being listed on the enclosed PTO/SB/08A or being provided because this application corresponds to U.S. Pat. No. 5,504,132 which was cited in the Information Disclosure Statement filed with the instant application on October 27, 2003.

Respectfully submitted,
JEFFERY R. HARRIS, et al.

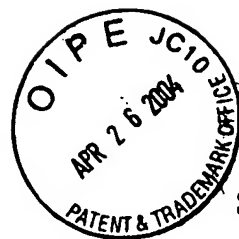

David L. Mossman
Registration No. 29,570
Attorney for Applicant
Phone: 512/219-4026
Facsimile: 512/219-4036

I hereby certify that this correspondence, and any attachments referred to, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on

APRIL 22, 2004
Date of Deposit


David L. Mossman
Date 4-22-2004

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METHODS OF POLYOLEFIN DRAG :
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CERTIFICATION FOR SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Identification of Information Disclosure Statement for which this Certification is Being Made

This certification is being made for the Supplemental Information Disclosure Statement

☒ accompanying this certification;
☐ filed: _____.

2. Certification

I, the person signing below, certify:

- ☒ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement. 37 CFR §1.97(e)(1).
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. 37 CFR §1.97(e)(2), or that no item of information contained in the Information Disclosure Statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designat-

ed in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

3. Identification of Person Making This Certification

The person making this certification is:

☐ The inventor who signs below.

Signature

Typed or printed name

☐ A person who is substantially involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR §1.56(c)) and who signs below:

Signature

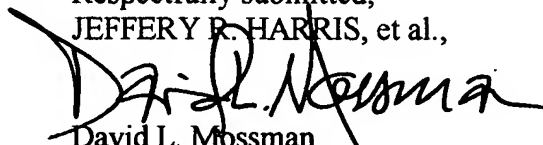
Typed or printed name

Address

Relationship

- ☒ The attorney who signs below on the basis of:
- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | the information supplied by the inventor. |
| <input checked="" type="checkbox"/> | the information supplied by an individual designated in §1.56(c). |
| <input type="checkbox"/> | the information in the attorney's file. |
| <input type="checkbox"/> | information found by the attorney while conducting research on another matter. |

Respectfully submitted,
JEFFERY R. HARRIS, et al.,


David L. Mossman

Registration No. 29,570

Attorney for Applicant

Telephone No. 512/219-4026

Facsimile No. 512/219-4036

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APRIL 22, 2004

Date of Deposit


David L. Mossman

4-22-2004
Date

Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/694,585
Filing Date	10/27/2003
First Named Inventor	JEFFERY R. HARRIS, et al.
Art Unit	1764
Examiner Name	
Attorney Docket Number	194-29412-USCP

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY			
		GB 1,313,119	04-11-1973	Deutsche-Gold-und-Silber		

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/694,585
				Filing Date	10/27/2003
				First Named Inventor	JEFFERY R. HARRIS, et al.
				Art Unit	1764
				Examiner Name	
				Attorney Docket Number	194-29412-USCP
Sheet	2	of	2		

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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